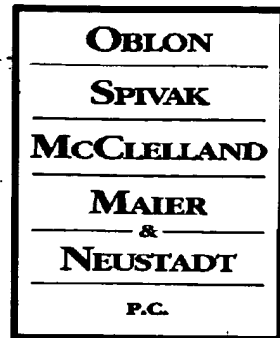


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November 29, 2005



ATTORNEYS AT LAW

KATHLEEN A. MORSBERGER  
CONTROLLER  
(703) 412-6494  
KMORSBERGER@OBLON.COM

UNITED STATES PATENT AND TRADEMARK OFFICE  
2501 Jamieson Ave., Ste. 300  
Alexandria, VA

Attn: Frank Lebron  
Refund Department

Re: Deposit Account #150030

Dear Mr. Lebron:

Enclosed is a copy of a portion of our deposit account statement of October, 2005. Please review the highlighted charge on **Serial Number 10/088,503 in the amount of \$180.00 on fee code #1806.**

A **CERTIFIED** Information Disclosure Statement was filed on August 29, 2005. Since this application was not under final rejection, **no fee is required as set forth in 37 CFR 1.97.**

Please investigate this charge and kindly refund **\$180.00** to **Deposit Account #150030.** Copies of the appropriate paperwork are attached. If you have any questions, please contact Debbie Noel at (703) 412-6296. Thank you for your assistance in this matter.

Sincerely,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

A handwritten signature in cursive script that reads "Debra J. Noel".

Debra J. Noel  
Accounting Department

Enclosures

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**Deposit Account Statement**

Requested Statement Month: October 2005  
 Deposit Account Number: 150030  
 Name: NORMAN F. OBLON  
 Attention:  
 Address: 1940 DUKE STREET  
 City: ALEXANDRIA  
 State: VA  
 Zip: 22314  
 Country: UNITED STATES OF AMERICA

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
10/03	41	PAYMENT		9203	-\$20,000.00	\$27,737.71
10/03	52	10299801	230749US99	1462	\$270.00	\$27,467.71
10/03	107	10396406	235702US0	1801	\$790.00	\$26,677.71
10/03	108	10396406	235702US0	1201	\$400.00	\$26,277.71
10/03	310	11099522	265643US/KQU	8007	\$40.00	\$26,237.71
10/03	312	10166650	224305US/KQU	8007	\$80.00	\$26,157.71
10/03	413	10951650	253648US/KQU	8007	\$60.00	\$26,097.71
10/04	2	10987713	N1085-90047	1201	\$400.00	\$25,697.71
10/04	3	10407233	236386US0CONT	1806	\$180.00	\$25,517.71
10/04	73	60640047	264167US/KQU	8007	\$20.00	\$25,497.71
10/04	74	60632985	262881US/KQU	8007	\$20.00	\$25,477.71
10/04	83	60641731	264391US	8007	\$20.00	\$25,457.71
10/04	86	60637745	263865US/KQU	8007	\$20.00	\$25,437.71
10/05	9	10533237	271731US0PCT	1615	\$300.00	\$25,137.71
10/05	200	60619695	260663US/KQU	8007	\$80.00	\$25,057.71
10/05	267	11144020	239439US2RD RE	1204	\$200.00	\$24,857.71
10/06	2	10008503	990477U1PCT	1806	\$180.00	\$24,677.71
10/06	14	11224949	260082US26	1203	-\$360.00	\$25,037.71
10/06	24	60614974	259931US/KQU	8007	\$40.00	\$24,997.71
10/06	28	60614973	259930US/KQU	8007	\$60.00	\$24,937.71
10/06	42	60670266	270665US/KQU	8007	\$60.00	\$24,877.71
10/06	170	60615023	259932US/KQU	8007	\$140.00	\$24,737.71
10/06	171	60619694	260662US/KQU	8007	\$120.00	\$24,617.71
10/06	215	60632985	262881US/KQU	8007	\$20.00	\$24,597.71
10/06	217	60637745	263865US/KQU	8007	\$20.00	\$24,577.71
10/06	218	60640047	264167US/KQU	8007	\$20.00	\$24,557.71
10/06	220	60641731	264391US/KQU	8007	\$20.00	\$24,537.71

10/14 6	10534525	272253USOPCT	1615	\$100.00	\$6,132.71
10/14 12	PAYMENT		9203	-\$20,000.00	\$26,132.71
10/14 16	11232906	275165US90	1202	\$50.00	\$26,082.71
10/14 30	11234336	278685US0X CONT	1202	\$1,050.00	\$25,032.71
10/14 31	11234336	278685US0X CONT	1203	\$360.00	\$24,672.71
10/14 34	11239325	278779US0XDIV	2081	\$125.00	\$24,547.71
10/14 45	10008503	990477U1PCT	1806	-\$180.00	\$24,727.71
10/14 46	10088503	220802US2XPC	1806	\$180.00	\$24,547.71
10/17 4	10526020	266734US0PCT	1617	\$130.00	\$24,417.71
10/17 14	11233129	278747US3	1201	\$200.00	\$24,217.71
10/17 16	10537491	273431US2PCT	1615	\$350.00	\$23,867.71
10/17 17	10537491	273431US2PCT	1616	\$360.00	\$23,507.71
10/17 18	10537491	273431US2PCT	1617	\$130.00	\$23,377.71
10/17 120	0144340022	236504CN,KR,CA,IN(FF.DEPT	8014	\$100.00	\$23,277.71
10/17 192	60641740	264389US/KQU	8007	\$20.00	\$23,257.71
10/17 194	60669905	270274US/KQU	8007	\$40.00	\$23,217.71
10/18 2	10495826	252897US2X PCT	1617	-\$130.00	\$23,347.71
10/18 29	11239325	278779US0XDIV	2202	\$325.00	\$23,022.71
10/18 30	11239325	278779US0XDIV	2203	\$180.00	\$22,842.71
10/19 1	10623522	240629US/HC	1806	\$180.00	\$22,662.71
10/19 1	11232906	275165US90	1202	-\$50.00	\$22,712.71
10/19 1	10415887	236540US3PCT	1251	\$120.00	\$22,592.71
10/19 3	11232906	275165US90	1051	-\$50.00	\$22,642.71
10/19 14	11234336	278685US0X CONT	1202	-\$1,050.00	\$23,692.71
10/19 20	60631628	261171US/KQU	8007	\$20.00	\$23,672.71
10/19 22	11234336	278685US0X CONT	1202	\$950.00	\$22,722.71
10/19 22	10923037	256759US90CONT	1202	\$72.00	\$22,650.71
10/19 238	10398652	235558US	1201	\$400.00	\$22,250.71
10/20 5	11142451	273302US2	1202	-\$50.00	\$22,300.71
10/20 16	11124291	271584US0X DIV	1051	-\$130.00	\$22,430.71
10/20 17	10195069	224859US2	2201	-\$500.00	\$22,930.71
10/20 21	10682189	243741US2DIV	1252	-\$330.00	\$23,260.71
10/20 22	10612223	239873US2	1201	-\$200.00	\$23,460.71
10/20 25	10875382	255118US0	1806	-\$180.00	\$23,640.71
10/20 26	10331326	232549US0DIV	1251	-\$120.00	\$23,760.71
10/20 232	0155270177	248704ZA/LIT/FF	8014	\$25.00	\$23,735.71
10/21 1	10935582	258048US0	1806	\$180.00	\$23,555.71
10/21 2	10606750	239522US0	1806	\$180.00	\$23,375.71
10/21 3	11002218	262571US0DIV	1806	\$180.00	\$23,195.71
10/21 190	10242710	228051US2	1806	-\$180.00	\$23,375.71
10/21 191	10942937	255481US0	1806	-\$180.00	\$23,555.71
10/21 192	10642178	241684US2	1806	-\$180.00	\$23,735.71
10/21 193	10626708	240653US0DIV	1806	-\$180.00	\$23,915.71
10/24 11	11104531	270268US0	1203	\$360.00	\$23,555.71
10/24 374	60642100	264518US/KQU	8007	\$20.00	\$23,535.71
10/25 3	10019619	KOHLERETAL1P	1811	-\$100.00	\$23,635.71
10/25 6	11202318	276675US2RD DIV	1202	\$150.00	\$23,485.71
10/25 10	0145400248	237155 KR, CN, CA, IN (FF	8014	\$100.00	\$23,385.71

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OSMM&N File No. 220802US2X PCT

Dept.: IP-1

By: MJS/MEMO/ims

Serial No. 10/088,503

In the matter of the Application of: Shigeo YAMANAKA, et al.

For: OPTICAL WAVELENGTH DIVISION MULTIPLEXING AND  
TRANSMISSION APPARATUS

Due Date: 08/30/2005

The following has been received in the U.S. Patent Office on the date stamped hereon:

■ Dep. Acct. Order Form

■ Information Disclosure Statement

■ PTO-1449

■ Cited References (7)

■ Search Report EUROPEAN



Docket No. 220802US2XPCT/ims

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### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Shigeo YAMANAKA, et al.

SERIAL NO: 10/088,503

GAU: 2633

FILED: March 28, 2002

EXAMINER: T. Q. LE

FOR: OPTICAL WAVELENGTH DIVISION MULTIPLEXING AND TRANSMISSION APPARATUS

### INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

#### REFERENCES

- ☒ The applicant(s) wish to make of record the references cited in the attached European Search Report and listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### RELATED CASES

- ☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

#### CERTIFICATION

- ☒ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

#### DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

Marvin J. Spivak

Registration No. 24,913

Michael E. Monaco

Registration No. 52,041

Customer Number

**22850**

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Fax. (703) 413-2220  
(OSMMN 05/03)

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10/06/2005 LBADIE 00000002 150030 10008503

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Adjustment date: 12/30/2005 EKKUBAY1  
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